

11/23/98
10598 U.S. PTO

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PTO/SB/05 (1/98)
Approved for use through 09/30/2000 OMB 0651-0032
Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i>	Attorney Docket No. 0756-1896
	First Inventor or Application Identifier: Hisashi OHTANI et al.
	Title: SEMICONDUCTOR DEVICE AND PROCESS FOR PRODUCING THE SAME
	Express Mail Label No.

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
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1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original, and a duplicate for fee processing)</i> 2. <input checked="" type="checkbox"/> Specification <i>Total Pages [44]</i> <i>(preferred arrangement set forth below)</i> - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings <i>(if filed)</i> - Detailed Description - Claim(s) - Abstract of the Disclosure 3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) <i>Total Sheets [12]</i> 4. <input checked="" type="checkbox"/> Oath or Declaration <i>Total Pages [5]</i> a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 17 completed)</i> <i>[Note Box 5 below]</i> i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 5. <input type="checkbox"/> Incorporation By Reference <i>(useable if Box 4b is checked)</i> The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein	6. <input type="checkbox"/> Microfiche Computer Program <i>(Appendix)</i> 7. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i> a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies ACCOMPANYING APPLICATION PARTS 8. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) 9. <input type="checkbox"/> 37 CFR 3 73(b) Statement <input type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i> 10. <input type="checkbox"/> English Translation Document <i>(if applicable)</i> 11. <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Copies of IDS Citations <i>(IDS)/PTO-1449</i> 12. <input type="checkbox"/> Preliminary Amendment 13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i> 14. <input type="checkbox"/> *Small Entity <input type="checkbox"/> Statement filed in prior application, Status still proper and desired <i>(PTO/SB/09-12)</i> 15. <input checked="" type="checkbox"/> Certified Copies of Japanese Priority Application Nos. 9-344350 and 10-018050 <i>(if foreign priority is claimed)</i> 16. <input type="checkbox"/> Other *A new statement is required to be entitled to pay small entity fees, except where one has been filed in a prior application and is being relied upon
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17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. _____
 Prior application information: Examiner: _____ Group/Art Unit: _____

18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label ☐ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name	Gerald J. Ferguson, Jr.
Firm	SIXBEY, FRIEDMAN, LEEDOM & FERGUSON, P.C.
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Name: Gerald J. Ferguson, Jr.	Registration No. 23,016
Signature	Date: November 23, 1998

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

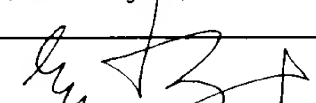
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<h2 style="margin: 0;">FEE TRANSMITTAL</h2> <p style="font-size: small; margin-top: 10px;">Patent fees are subject to annual revision on October 1. These are the fees effective October 1, 1997. Small Entity payments must be supported by a small entity statement, if otherwise large entity fees must be paid. See Forms PTO/SB/09-12.</p>		Complete If Known			
		Application Number			
		Filing Date		November 23, 1998	
		First Named Inventor		Hisashi OHTANI et al	
		Examiner Name			
		Group Art Unit			
TOTAL AMOUNT OF PAYMENT		\$2,488.00		Attorney Docket Number 0756-1896	

METHOD OF PAYMENT (check one)	3. ADDITIONAL FEES Large Entity Small Entity					
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account No. 19-2380 Deposit Account Name: SIXBEY, FRIEDMAN, LEEDOM & FERGUSON, PC [X] Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 [] Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance 2. <input checked="" type="checkbox"/> Payment Enclosed <input checked="" type="checkbox"/> Check [] Money Order [] Other	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
	105	130	205	65	Surcharge-late filing fee or oath	
	127	50	227	25	Surcharge-late provisional filing fee or cover sheet	
	139	130	139	130	Non-English specification	
	147	2,520	147	2,520	For filing a request for reexamination	
	112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
	115	110	215	55	Ext for reply within first month	
	116	400	216	200	Ext for reply within second mth	
	117	950	217	475	Ext for reply within third mth	
	118	1,510	218	755	Ext for reply within fourth mth	
	128	2,060	228	1,030	Ext for reply within fifth month	
	119	310	219	155	Notice of Appeal	
	120	310	220	155	Filing brief in support of appeal	
	121	270	221	135	Request for Oral Hearing	
	138	1,510	138	1,510	Petition to institute public use proceeding	
	140	110	240	55	Petition to revive-unavoidable	
	141	1,320	241	660	Petition to revive-unintentional	
	142	1,320	242	660	Utility issue fee (or reissue)	
	143	450	243	225	Design issue fee	
	144	670	244	335	Plant issue fee	
	122	130	122	130	Petitions to the Commissioner	
	123	50	123	50	Petitions related to provisional applications	
	126	240	126	240	Submission of IDS	
	581	40	581	40	Recording each patent assignment per property (times number of properties)	
	146	790	246	395	Filing a submission after final rejection (37 CFR 1.129(a))	
	149	790	249	395	For each additional invention to be examined (37 CFR 1.129(b))	
					Other _____	
					Other _____	
					*Reduced by Basic Filing Fee Paid	
SUBTOTAL (1)						\$760.00

2. EXTRA CLAIM FEES		Extra Claims Fee from Below Fee Paid	
Total Claims	69 - 20** = 49	X \$18.00 = \$882.00	
Independent Claims	10 - 3** = 7	X \$78.00 = \$546.00	
Multiple Dependent Claims		\$260.00 = \$260.00	
**or number previously paid, if greater. For Reissues, see below			
Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
103	18	203	9
		Claims in excess of 20	
102	78	202	59
		Independent claims in excess of 3	
104	270	204	135
		Multiple dependent claim	
109	82	209	41
		**Reissue independent claims over original patent	
110	22	210	11
		**Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)			\$1,688.00

4. SUBTOTAL (3)				5. SUBTOTAL (4)	
				\$40.00	

SUBMITTED BY				Complete (if applicable)	
Typed or Printed Name	Gerald J. Ferguson, Jr.			Reg. Number	23,016
Signature				Date	November 23, 1998
				Deposit Account User ID	19-2380

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